

S.P.CAPITAL FINANCING LIMITED

The Ruby, 5th Floor, South Wing, Level 8th, JK Sawant Marg, Dadar West, Mumbai - 400028.
Tel. 40372424/22824945/22834051 Fax No. 22844052
CIN NO. L74140MH1983PLC029494, Scrip Code: 530289
Website: www.spcapital.in, Email Id: spcapitalfin@gmail.com

Date: 6th April, 2023

To,
Bombay Stock Exchange Ltd.,
Department of Corporate Services,
P.J. Tower, Dalal Street,
Mumbai - 400 001.

Ref: BSE Code No: 530289

Sub: SDD compliance certificate for the quarter ended March 31, 2023

Dear Sir/Madam,

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), enclosed herewith is the SDD Compliance certificate for the quarter ended March 31, 2023.

You are requested to kindly take note of the above.

Thanking You,

Yours faithfully,

For SP Capital Financing Limited

SONAL
ANIL NAIK

Digitally signed by
SONAL ANIL NAIK
Date: 2023.04.06
15:13:16 +05'30'

Sonal Naik
(Company Secretary)

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31ST MARCH 2023

(Pursuant to Securities and Exchange Board of India (prohibition of insider Trading) Regulations, 2015)

I, Sonal Naik, Compliance Officer of SP Capital Financing Limited am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. The company has a Structured Digital Database in place
2. Control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter have been captured in the Database
4. The system has captured nature of UPSI along with date and time
5. The database has been maintained internally and an audit trail is maintained
6. The database is non- tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 number of events during the quarter ended and has captured 1 number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: NIL

For SP Capital Financing Limited

SONAL
ANIL
NAIK

Digitally signed by
SONAL ANIL NAIK
Date: 2023.04.06
13:13:06 +05'30'

Sonal Naik
Company Secretary

Place: Mumbai

Date: 6th April, 2023